

## **SUBSCRIPTION FORM FOR:**

### **Alpha UCITS SICAV J. Stern & Co. World Stars Global Equity Fund**

TELEFAX

To be completed and returned by fax to:

**Caceis Investor Services Bank, Luxembourg**

**Attention: Order Desk**

Fax: **+352 2460 9500** - Tel: **+352 2605 5526**

Name of Company / Bank \_\_\_\_\_

Account Number: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Tel: \_\_\_\_\_ Fax: \_\_\_\_\_

Total pages: \_\_\_\_\_

#### General Information

- This subscription is subject to the provisions set out in the Prospectus of Alpha UCITS SICAV. Please read and consider the latest Prospectus of Alpha UCITS SICAV before completing this Subscription Form;
- **Subscription Forms must be received by Caceis Investor Services (the Administrator) by 3.00pm (Luxembourg time) ONE business day prior to the relevant Transaction Day. The J. Stern & Co. World Stars Global Equity Fund has daily Dealing Date. Should a Dealing Date not be a Business Day, the Transaction Day will occur on the following day which is a Business Day.** Orders received after the cut-off time will be processed on the following Transaction Day;
- Please note that all applicants for shares of any sub-fund of the Company (the “**Shares**”) will be subject to appropriate anti-money laundering checks and regulatory MiFID client classification checks. All applications for Shares are subject to satisfactory completion of such checks. Applicants should be aware that completion of such checks may, in some circumstances, result in an application for Shares not being processed on the requested Transaction Day. **Therefore, we recommend that Caceis Application Forms are submitted well in advance of the deadline above** to allow these checks to be completed in time to allow subscriptions to be effected on the relevant Transaction Day;
- Please note that the ownership of Shares is restricted to eligible investors according to the Prospectus;
- Incomplete and illegible orders or orders with missing information will be accepted only after such missing or illegible information is submitted to the Administrator. No monies will be transferred to the Fund unless the order is accepted;
- Please refer to the Minimum Holding requirements as well as to the Subsequent Minimum Investment size for each Share Class;
- By signing this form, you represent that you have reviewed the Key Investor Information Document (KIID) of the Fund relating to the relevant Share Class;
- The account holder hereby represents that all individual shareholders investing in the Share Class through its account fulfil the criteria for inclusion in the Share Class.

## **SUBSCRIPTION FORM FOR:**

### **Alpha UCITS SICAV J. Stern & Co. World Stars Global Equity Fund**

TELEFAX

Please subscribe:

| Share class   | Number of Shares | Cash Amount | Payment Currency |
|---|------------------|-------------|------------------|
| <b>Class A1 USD</b><br>ISIN: LU1952142120<br>BBG TICKER: JSWSA1U          |                  |             |                  |
| <b>Class A1 EUR</b><br>ISIN: LU1979603963<br>BBG TICKER: JSWSA1E          |                  |             |                  |
| <b>Class A1 GBP</b><br>ISIN: LU1979604003<br>BBG TICKER: JSWSA1G          |                  |             |                  |
| <b>Class A1 (Hedged) GBP</b><br>ISIN: LU2549545601<br>BBG TICKER: JSWSAHG |                  |             |                  |
| <b>Class A1 CHF</b><br>ISIN: LU1979604185<br>BBG TICKER: JSWSA1C          |                  |             |                  |
| <b>Class A5 USD</b><br>ISIN: LU1963577264<br>BBG TICKER: JSWSA5U          |                  |             |                  |
| <b>Class B1 USD</b><br>ISIN: LU1952142476<br>BBG TICKER: JSWSB1U          |                  |             |                  |
| <b>Class B1 EUR</b><br>ISIN: LU1979605828<br>BBG TICKER: JSWSB1R          |                  |             |                  |
| <b>Class B1 GBP</b><br>ISIN: LU1979606040<br>BBG TICKER: JSWSB1G          |                  |             |                  |

|  |  |  |  |
|--|--|--|--|
| <b>Class L1 USD</b><br>ISIN: LU2782975945<br>BBG TICKER: JSWSL1U |  |  |  |
| <b>Class L1 EUR</b><br>ISIN: LU2782976083<br>BBG TICKER: JSWSL1E |  |  |  |
| <b>Class L1 GBP</b><br>ISIN: LU2782975861<br>BBG TICKER: JSWSL1G |  |  |  |



**SUBSCRIPTION FORM FOR:**

**Alpha UCITS SICAV  
J. Stern & Co. World Stars Global Equity Fund**

TELEFAX

**In case of Clearstream Settlement**

**Deliver the shares to Clearstream account:**

\_\_\_\_\_

**Company / Bank:**

\_\_\_\_\_

**Date:** \_\_\_\_\_

**Signature(s)** \_\_\_\_\_

\_\_\_\_\_

## Appendix: Minimum Initial Subscription and Additional Investments Amounts

Alpha UCITS SICAV  
 J. Stern & Co. World Stars Global Equity Fund

| Share Class       | Currency | Minimum Initial Investment/Holding | Subsequent Investment Size |
|-------------------|----------|------------------------------------|----------------------------|
| Class A1          | USD      | 1,000,000                          | 10,000                     |
| Class A1          | EUR      | 1,000,000                          | 10,000                     |
| Class A1          | GBP      | 1,000,000                          | 10,000                     |
| Class A1 (Hedged) | GBP      | 1,000,000                          | 10,000                     |
| Class A1          | CHF      | 1,000,000                          | 10,000                     |
| Class A5          | USD      | 1,000,000                          | 10,000                     |
| Class B1          | USD      | 5,000                              | 500                        |
| Class B1          | EUR      | 5,000                              | 500                        |
| Class B1          | GBP      | 5,000                              | 500                        |
| Class L1          | USD      | 25,000,000                         | 10,000                     |
| Class L1          | EUR      | 25,000,000                         | 10,000                     |
| Class L1          | GBP      | 25,000,000                         | 10,000                     |